# Medicare Advantage/Medicare-Medicaid Dual Eligible Required Provisions

A Payor has a contract with CMS for the provision of services to Medicare Members. Accordingly, IPA Provider agrees to provide all services under the Agreement in compliance with the following provisions.

## Definitions

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<th>TERM</th>
<th>DEFINITION</th>
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<tbody>
<tr>
<td><strong>Centers for Medicare and Medicaid Services (CMS)</strong></td>
<td>The agency within the Department of Health and Human Services that administers the Medicare program.</td>
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<td><strong>Completion of Audit</strong></td>
<td>Completion of audit by the Department of Health and Human Services, the Government Accountability Office, or their designees of a Medicare Advantage Organization, Medicare Advantage Organization contractor or related entity.</td>
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<td><strong>Downstream Entity</strong></td>
<td>Any party that enters into a written arrangement, acceptable to CMS, with persons or entities involved with the Medicare Advantage (MA) benefit, below the level of the arrangement between an MA Organization (or applicant) and a first tier entity. These written arrangements continue down to the level of the ultimate provider of both health and administrative services.</td>
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<td><strong>Final Contract Period</strong></td>
<td>The final term of the contract between CMS and the MA Organization.</td>
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<td><strong>First Tier Entity</strong></td>
<td>Any party that enters into a written arrangement, acceptable to CMS, with an MA Organization or applicant to provide administrative services or health care services for a Medicare eligible individual under the MA program.</td>
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<td><strong>Medicare Advantage (MA)</strong></td>
<td>An alternative to the traditional Medicare program in which private plans run by health insurance companies provide health care benefits that eligible beneficiaries would otherwise receive directly from the Medicare program.</td>
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<td><strong>Medicare Advantage Organization (MA Organization)</strong></td>
<td>A public or private entity organized and licensed by a state as a risk-bearing entity (with the exception of provider-sponsored organizations receiving waivers) that is certified by CMS as meeting the MA contract requirements.</td>
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<td><strong>MA Plan</strong></td>
<td>One or more plans in the MA program offered or administered by an MA Organization and covered under the MA Organization’s contract with Beacon.</td>
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<td>DEFINITION</td>
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<td>Member or Enrollee</td>
<td>A Medicare Advantage eligible individual who has enrolled in or elected coverage through a MA Organization.</td>
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| Provider             | • Any individual who is engaged in the delivery of health care services in a state and is licensed by the state to engage in that activity in the state  
                        • Any entity that is engaged in the delivery of health care services in a state and is licensed or certified to deliver those services if such licensing or certification is required by state law or regulation |
| Related Entity       | Any entity that is related to the MA Organization by common ownership and:  
                        1. Performs some of the MA Organization’s management functions under contract or delegation  
                        2. Furnishes services to Medicare enrollees under an oral or written agreement  
                        3. Leases real property or sells materials to the MA Organization at a cost of more than $2,500 during a contract period |

**Required Provisions**

IPA Provider agrees to the following:

1. HHS, the Comptroller General or their designees have the right to audit, evaluate and inspect any pertinent information for any particular contract period, including, but not limited to, any books, contracts, computer or other electronic systems (including medical records and documentation of the first tier, downstream and entities related to CMS’ contract with the Medicare Advantage Organization through 10 years from the final date of the final contract period of the contract entered into between CMS and the MA Organization or from the date of completion of any audit, whichever is later. [42 C.F.R. §§ 422.504(i)(2)(i) and (ii)]

2. IPA Provider will comply with the confidentiality and enrollee record accuracy requirements, including: (1) abiding by all Federal and State laws regarding confidentiality and disclosure of medical records, or other health and enrollment information; (2) ensuring that medical information is released only in accordance with applicable Federal or State law, or pursuant to court orders or subpoenas; (3) maintaining the records and information in an accurate and timely manner; and (4) ensuring timely access by enrollees to the records and information that pertain to them. [42 C.F.R. §§ 422.504(a)(13) and 422.118]

3. Enrollees will not be held liable for payment of any fees that are the legal obligation of the MA Organization. [42 C.F.R. §§ 422.504(i)(3)(i) and 422.504(g)(1)(i)]

4. For all enrollees eligible for both Medicare and Medicaid, enrollees will not be held liable for Medicare Part A and B cost sharing when the State is responsible for paying such amounts. Providers will be informed of Medicare and Medicaid benefits and rules for enrollees eligible for Medicare and Medicaid. IPA Provider may not impose cost-sharing that exceeds the amount of
cost-sharing that would be permitted with respect to the individual under title XIX if the individual were not enrolled in such a plan. IPA Provider will: (1) accept the MA plan payment as payment in full; or (2) bill the appropriate State source. [42 C.F.R. §§ 422.504(i)(3)(i) and 422.504(g)(1)(i)]

5. Any services or other activity performed in accordance with a contract or written agreement by IPA Provider are consistent and comply with the MA Organization’s contractual obligations. [42 C.F.R. § 422.504(i)(3)(ii)]

6. Contracts or other written agreements between the MA Organization and providers or between first tier and downstream entities must contain a prompt payment provision, the terms of which are developed and agreed to by the contracting parties. The MA Organization or CHCS is obligated to pay contracted providers under the terms of the contract between the IPA Provider and CHCS. [42 C.F.R. §§ 422.520(b)(1) and (2)]. The prompt payment provision is set forth in the Claims & Payment section of the Agreement.

7. IPA Provider and any related entity, contractor or subcontractor will comply with all applicable Medicare laws, regulations and CMS instructions. [42 C.F.R. §§ 422.504(i)(4)(v)]

8. The IPA Provider agrees to screen any prospective, potential or actual new employee, volunteer, consultants, or governing body member prior to hire or contract, and monthly thereafter against the List of Excluded Individuals and Entities (LEIE), Excluded Parties List Service (EPLS), and excluded individuals posted by the OMIG on its Website and disclose to CHCS all exclusions and events that would make them ineligible to perform work related, directly or indirectly, to federal health care programs.

9. If any of CHCS’s activities or responsibilities are sub-delegated to any first tier, downstream and related entity:
   i. The delegated activities and reporting responsibilities are specified in writing.
   ii. The MA Organization and/or CHCS reserve the right to revoke the delegation activities and reporting requirements or to specify other remedies in instances where the MA Organization and/or CHCS determine that such parties have not performed satisfactorily.
   iii. The MA Organization and/or CHCS will monitor the performance of the IPA Provider on an ongoing basis.
   iv. The credentials of medical professionals affiliated with the IPA Provider will be either reviewed by the MA Organization and/or CHCS or the credentialing process will be reviewed and approved by the MA Organization and/or CHCS and the MA Organization and/or CHCS must audit the credentialing process on an ongoing basis.
   v. If the MA Organization and/or CHCS delegates the selection of providers, contractors or subcontractors, the MA Organization and/or CHCS retains the right to approve, suspend or terminate any such arrangement. [42 C.F.R. §§ 422.504(i)(4) and (5)].

In the event of a conflict between the terms and conditions above and the terms of the Agreement, the terms above control.

Medicare-Medicaid Dual Eligible Required Provisions

If a Payor has a contract with CMS for the provision of services under the Medicare-Medicaid Program (MMP), IPA Provider agrees to provide all services under the Agreement in compliance with the following provisions.
1. HHS, the Comptroller General or their designees have the right to audit, evaluate and inspect any pertinent information of IPA Provider including books, contracts, records, including medical records, and documentation related to CMS' contract with the Payor for a period of 10 years from the final date of the contract period or the completion of any audit, whichever is later. §422.504(i)(2)(i) and (ii).

2. Where applicable, IPA Provider and suppliers agree to safeguard beneficiary privacy and confidentiality of beneficiary health records. §422.504(a)13.

3. Where applicable, IPA Provider may not hold beneficiaries liable for payment of fees that are the legal obligation of the Payor. §422.504(g)(1)(i); §422.504(i)(3)(i).

4. Any services performed will be consistent and comply with the Payor's contractual obligations with CMS and New York State Department of Health. §422.504(i)(3)(iii).

5. If the Payor delegates selection of the providers, contractors, or subcontractor to another organization, the Payor's contract with that organization must state that the Payor retains the right to approve, suspend, or terminate any such arrangement. §422.504(i)(5).

6. All delegated activities and reporting responsibilities of IPA Provider are clearly defined in this Agreement. §422.504(i)(4)(i).

7. The Payor may revoke any of the delegated activities and reporting requirements or specify other remedies in instances when CMS or the New York State Department of Health determines that the parties to this Agreement have not performed satisfactorily. §422.504(i)(3)(ii); §422.504(i)(4)(ii).

8. Performance of the parties is monitored by the Payor on an ongoing basis. §422.504(i)(3)(ii); §422.504(i)(4)(iii).

9. The credentials of medical professionals affiliated with the parties will either be reviewed by the Payor or the credentialing process will be reviewed and approved by the Payor; and the Payor must audit the credentialing process on an ongoing basis. §422.504(i)(4)(iv)(A)(B).

10. IPA Provider must comply with all applicable Medicare laws, regulations and CMS instructions. §422.504(i)(4)(v).

11. This Agreement incorporates the Medicare-Medicaid population.

12. IPA Provider will complete required Fully Integrated Duals Advantage (FIDA) training outlined in the New York State Memorandum of Understanding or certify to CHCS or the Payor that training has been completed through another health plan or organization approved by CMS to provide such training. Training will include:
   i. No balance billing of FIDA participants
   ii. Cultural and linguistic competency for delivering services to FIDA participants
   iii. Physical accessibility, which is defined in accordance with US Department of Justice ADA guidance for providers
   iv. Disability competency for delivering services to FIDA participants

Required training for Interdisciplinary Care Team Members (IDT) only:
   i. Person-centered planning process
   ii. Independent living and recovery
iii. Wellness principles
iv. Olmstead requirements
v. Coordinating with behavioral health and community-based and facility-based long-term services and supports (LTSS) providers, providing information about accessing behavioral health and community-based and facility-based LTSS, and furnishing lists of community supports available.
vi. How to identify behavioral health needs, how to assist the Member in obtaining behavioral health services, how to identify community-based and facility-based LTSS needs, and how to assist the Members in obtaining community-based and facility-based LTSS services (required only for primary care providers).

13. IPA Provider agrees to use evidence-based practices specific to his/her/their area of practice.